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PTO/SB/05 (03-01)

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 021704 19270 U.S. PTO 10/781059	UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No.	7326-132	Total Pages	93
	First Named Inventor or Application Identifier					
	Spyridon Artavanis-Tsakonas <i>et al.</i>					
	Express Mail Label No.	EV 335 859 053 US				

APPLICATION ELEMENTS See MPEP chapters 600 concerning utility patent application contents.	Address to: Commissioner for Patents P.O. Box 1450 Mail Stop PATENT APPLICATION Alexandria, VA 22313-1450
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<p>1. <input checked="" type="checkbox"/> Request to File Continuation Application (Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims Small Entity status, see 37 C.F.R. § 1.27</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 78] (preferred arrangement set forth below, MPEP 1503.01)</p> <ul style="list-style-type: none">- Table of Contents- Descriptive title of the Invention- Cross Reference to Related Applications- Statement Regarding Fed sponsored R&D- Reference to Microfiche Appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description of the Invention (including drawings, if filed)- Claim(s)- Abstract of the Disclosure <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 11]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Sheets 2]</p> <p>a. <input type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)</p> <p>i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>	<p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. <input type="checkbox"/> Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statement verifying identity of above copies</p>
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ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☒ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(i). Applicant must attach form PTO/SB/35 or it's equivalent
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: 09/121,457 filed July 23, 1998, which is a continuation-in-part of Serial No. 08/899,232 filed July 23, 1997, now U.S. Patent 6,436,650

Prior application information: Examiner: Janet L. Andres

Group Art Unit: 1646

19. CORRESPONDENCE ADDRESS

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Prior application: Examiner Janet L. Andres
Art Unit 1646

Box PATENT APPLICATION
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

This is a request for filing a ☒ continuation ☐ divisional application under 37 C.F.R. § 1.53(b), of pending prior application no. 09/121,457 filed July 23, 1998 .

of Spyridon Artavanis-Tsakonas, Huilin Qi and Matthew D. Rand
(inventor(s) currently of record in prior application)

for ACTIVATED FORMS OF NOTCH AND METHODS BASED THEREON
(title of invention)

1. ☐ Please cancel claims and without prejudice, prior to calculating the filing fee.
2. ☐ Amend the specification by inserting before the first line the following sentence:
- 3a. ☐ New formal drawings are enclosed.
- 3b. ☒ Informal drawings are enclosed.
- 4a. ☐ Priority of application no. filed on in is claimed under 35 U.S.C. §119.
- 4b. ☐ The certified copy has been filed in prior application no. , filed .
5. ☒ The prior application is assigned of record to Yale University at Reel 9470 , frame 0408.
- 6a. ☒ A copy of the Power of Attorney filed in the prior application no. 09/121,457 filed July 23, 1998 is enclosed.
- 6b. ☐ A Power of Attorney is enclosed.

7. ☐ This application contains nucleic acid and/or amino acid sequences required to be disclosed in a Sequence Listing under 37 CFR §§1.821-1.825. It is requested that the Sequence Listing in computer readable form from prior application no. be made a part of the present application as provided for by 37 C.F.R. §1.821(e). The sequences disclosed therein are the same as the sequences disclosed in this application.
8. ☐ The undersigned states, under 37 C.F.R. §1.821(f), that the content of the enclosed paper Sequence Listing from application no. is the same as the content of the computer readable form submitted in application no. .
9. ☐ DO NOT PUBLISH. I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).
10. ☒ Additional enclosures or instruction: Preliminary Amendment

Respectfully submitted,

Date: February 17, 2004

by Heller
Adriane M. Antler
 Adriane M. Antler
 JONES DAY
 222 East 41st Street
 New York, New York 10017

REG NO 40,203
 32,605
 (Reg. No.)